

**CONTINUING  
(1.53(b)) UTILITY  
PATENT APPLICATION  
TRANSMITTAL**

(Only for continuing applications under 37 CFR 1.53(b))

Attorney Docket No.  
1293.1135-CFirst Named Inventor:  
Jin-Gyo SEOTitle: **ADAPTIVE RECORDING METHOD AND APPARATUS  
FOR HIGH-DENSITY OPTICAL RECORDING  
APPARATUS**

Express Mail Label No.

**APPLICATION  
ELEMENTS**

See MPEP chapter 600  
concerning utility  
patent application contents.

ADDRESS TO:  
**Commissioner for Patents**  
**Box Patent Application**  
**PO Box 1450**  
**Alexandria, VA 22313-1450**

1.  Fee Transmittal Form

2.  Specification, Claims & Abstract..... [Total Pages: 10]

3.  Drawing(s) (35 USC 113)..... [Total Sheets: 4] [FIGS. 1, 2A-2E, 3 and 4A-4F ]

4.  Oath or Declaration..... [Total Pages: 2]  
a.  Newly executed (original or copy)  
b.  Copy from a prior application (37 CFR 1.63(d))

5.  This application is filed by fewer than all the inventors named in the prior nonprovisional application.  
a.  **DELETE** the following inventor(s) named in the prior nonprovisional application:  
b.  The inventor(s) to be deleted are set forth on a separate sheet attached hereto.

6.  Verified Statement Claiming Small Entity Status

7.  Incorporation by Reference (usable if Box 4b is checked)  
The entire disclosure of the prior application (identified in Item 21), from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.

8.  Applicant claims foreign priority benefit to: Korean Application No. 1998-11893, filed April 3, 1998, and Patent Cooperation Treaty Application No. PCT/KR99/00164, filed April 1999.

9.  Application Data Sheet. See 37 C.F.R. 1.76

10.  CD-Rom or CD-R in duplicate, large table or Computer Program (Appendix)

11.  Nucleotide and/or Amino Acid Sequence Submission (*if applicable, all necessary*)  
a.  Computer Readable Form (CRF)  
b.  Specification Sequence Listing on:  
i.  CD-ROM or CD-R (2 copies); or  
ii.  paper  
c.  Statement verifying identity of above copies

12.  Assignment to Samsung Electronics Co., Ltd. of Suwon-si, Republic of Korea at Reel 011296, Frame 0467  
 for publication of assignee information under 37 CFR 1.215(b)

03/356 U.S. PTO  
10/736843  
121703

**ACCOMPANYING APPLICATION PARTS**

13.  37 CFR 3.73(b) Statement (*when there is an assignee*)  Power of Attorney

14.  English Translation Document (*if applicable*)

15.  Foreign priority benefit under 35 U.S.C. §119 is claimed.  
a.  Certified Copy of Priority Document(s) filed in prior application No. 09/623,309.  
b.  Certified Copy of Priority Document(s) enclosed.  
c.  Certified Copy of Priority Document(s) to follow.

16.  Information Disclosure Statement (IDS)/PTO-1449  Copies of IDS Citations

17.  Preliminary Amendment  
a.  enclosed herewith.  
b.  incorporated herein (*see Box 21*).

18.  Return Receipt Postcard (MPEP 503) (*Should be specifically itemized*)

19.  Request and Certification for Nonpublication under 35 U.S.C. 122(b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent

20.  Other:

**21. CONTINUING APPLICATION**, check appropriate box and supply the requisite information below:

Continuation  Divisional  Continuation-in-part (CIP) of prior application No: 09/623,309, filed November 21, now allowed, and claims the benefit of Korean Application No. 1998-11893, filed April 3, 1998, Patent Cooperation Treaty Application No. PCT/KR99/00164, filed April 1999.

Prior application information: Examiner: Chu, Kim Kwok  
Group/Art Unit: 2653

**Preliminary Amendment:**

- Cancel in this application original claims of the prior application before calculating the filing fee. (At least one original independent claim must be retained for filing purposes.)
- Amend the specification by inserting before the first line the sentence:

**22. NEW CORRESPONDENCE ADDRESS CUSTOMER NO. 21,171**

21171

PATENT TRADEMARK OFFICE

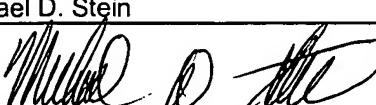
**23. SIGNATURE OF ATTORNEY OR AGENT**

NAME	Michael D. Stein	REGISTRATION NO.	37,240
SIGNATURE		DATE	12/12/03

18351  
121703

U.S. PTO

S&amp;H Form (10/03)

NEW APPLICATION FEE TRANSMITTAL		Attorney Docket No.	1293.1135-C	
		Application Number		
		Filing Date	December 17, 2003	
AMOUNT ENCLOSED	\$ 942.00	First Named Inventor	Jin-Gyo SEO	
FEE CALCULATION (fees effective 10/01/01)				
CLAIMS	(1) FOR	(2) NUMBER FILED	(3) NUMBER EXTRA	(4) RATE
	TOTAL CLAIMS	13 - 20 =	0	X \$ 18.00 =
	INDEPENDENT CLAIMS	5 - 3 =	2	X \$ 86.00 =
	MULTIPLE DEPENDENT CLAIMS (any number; if applicable)		+ \$290.00 =	
	BASIC FILING FEE			770.00
	Total of above Calculations =			\$ 942.00
	Surcharge for late filing fee, Oath or Declaration (37 CFR 1.53(f)) (\$130.00)			
	Reduction by 50% for filing by small entity (37 CFR 1.27).			
	TOTAL FILING FEE =			\$ 942.00
	Surcharge for filing non-English language application (\$130.00; 37 CFR 1.52(d))			
	Recordation of Assignment (\$40.00; 37 CFR 1.21(h))			
	TOTAL FEES DUE =			\$ 942.00
METHOD OF PAYMENT				
<input checked="" type="checkbox"/> Check enclosed as payment. <input type="checkbox"/> Charge "TOTAL FEES DUE" to the Deposit Account No. below. <input type="checkbox"/> No payment is enclosed and no charges to the Deposit Account are authorized at this time (unless specifically required to obtain a filing date).				
GENERAL AUTHORIZATION				
<input checked="" type="checkbox"/> If the above-noted "AMOUNT ENCLOSED" is not correct, the Commissioner is hereby authorized to credit any overpayment or charge any additional fees necessary to:				
Deposit Account No.		19-3935		
Deposit Account Name		STAAS & HALSEY LLP		
<input checked="" type="checkbox"/> The Commissioner is also authorized to credit any overpayments or charge any additional fees required under 37 CFR 1.16 (filing fees) or 37 CFR 1.17 (processing fees) during the prosecution of this application, including any related application(s) claiming benefit hereof pursuant to 35 USC 120 (e.g., continuations/divisionals/CIPs under 37 CFR 1.53(b) and/or continuations/divisionals/CPAs under 37 CFR 1.53(d)) to maintain pendency hereof or of any such related application.				
SUBMITTED BY: STAAS & HALSEY LLP				
Typed Name	Michael D. Stein		Reg. No.	37,240
Signature			Date	12/17/03

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